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COVER):**                   10

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**MESSAGE**       Re: Application Ser. No. 09/727,709 (Stacy BRYANT et al.)

*In person Interview @ 2:30pm  
Wed, Nov 12,*

*# 703-875-8978 (CH).  
202-955-1923 (W).*

*Ozzie Farres.*

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**OPERATO  
R**

**DATE:**                   November 11, 2003  
**TIME:**  
**CLIENT/MATTER NAME:**   GE Financial Assurance Holdings, NA  
**CLIENT/MATTER NO.:**   52493.000126

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application Number : 09/727,709 Confirmation No.: 3945  
Applicant : Stacy BRYANT  
Filed : December 4, 2000  
Title : A SYSTEM AND PROCESS FOR ADMINISTRATION OF  
DATABASES  
TC/Art Unit : 2171  
Examiner: : Hanh B. Thai  
  
Docket No. : 52493.000126  
Customer No. : 21967

**Agenda for 11/12/2003 Interview**

- A. Discuss the prior art references cited in the August 15, 2003 Office Action, including Northington, Harris, Kappel, O'Neil, and Ravels.
- B. Discuss proposed claim amendments (see attached).

### Proposed Claim Amendments

1. (Currently Amended) A process for updating information relating to administration of sales agents, brokers, distributors or dealers connected with a sales-related database comprising the steps of:

receiving updated information for the sales-related database in a file configured in a predetermined format, the updated information comprising ~~at least one of sales made by sales agents, brokers, distributors or dealers, commissions owed to sales~~ — agents, brokers, distributors or dealers, status of sales agents, brokers, distributors or dealers, and licensure status of sales agents, brokers, distributors or dealers, and being provided by a user interacting with an administrative tool having at least one broker operations module for updating broker information in the sales related database, a rate change module, and ~~at least one of~~ a login module, a report builder module, a database access module, and a file upload module;

saving the configured file containing the updated information to the sales-related database; and

uploading the sales-related database to a web-based database using the administrative tool.

2. (Currently Amended) A system for updating information relating to administration of sales agents, brokers, distributors or dealers connected with a sales-related database comprising:

user-interactive means for receiving updated information for the sales-related database in a file configured in a predetermined format, the updated information comprising ~~at least one of sales made by sales agents, brokers, distributors or dealers,~~

commissions owed to sales agents, brokers, distributors or dealers, status of sales agents, brokers, distributors or dealers, and licensure status of sales agents, brokers, distributors or dealers, and being provided by a user interacting with an administrative tool having at least one broker operations module for updating broker information in the sales related database and ~~at least one of~~ a login module, a report builder module, a rate change module, a database access module, and a file upload module;

means for saving the configured file containing the updated information to the sales-related database; and

means for uploading the sales-related database to a web-based database using an administrative tool, the updated information being provided by a user interacting with an administrative tool.

4. (Currently Amended) A system for updating information relating to administration of sales agents, brokers, distributors or dealers connected with a sales-related database comprising:

a user-interactive administrative tool for providing updated information to a sales-related database, the administrative tool having at least one broker operations module for updating broker information in the sales related database, a rate change module, and ~~at least one of~~:

a user login module for restricting access to the system;

a database access module for accessing data stored in the sales-related database and for reading one or more configured files; and

a file upload module for uploading data to the sales-related database and the system, the data comprising sales made by sales agents, brokers, distributors or

dealers, commissions owed to sales agents, brokers, distributors or dealers, status of sales agents, brokers, distributors or dealers, and licensure status of sales agents, brokers, distributors or dealers.

9. (Currently Amended) A process for updating information relating to administration of sales agents, brokers, distributors or dealers connected with a sales-related database comprising the steps of:

receiving login information from a client system;

determining if the login information is valid;

transmitting an administrative functions home page to the client system if the login information is valid, the administrative functions home page being associated with an administrative tool having at least one broker operations module for updating broker information in the sales related database, a rate change module, and at least one of a login module, a report builder module, a database access module, and a file upload module;

receiving a function link selection from the client system, the function link selection being made by a user interacting with the administrative functions home page;

determining which function link was selected;

displaying an administrative function screen corresponding to the function link selected;

receiving updated information in a predetermined format, the updated information the updated information comprising sales made by sales agents, brokers, distributors or dealers, commissions owed to sales agents, brokers, distributors or dealers, status of sales agents, brokers, distributors or dealers, and licensure status of sales agents.

brokers, distributors or dealers, and being provided by a user interacting with the  
administrative tool; and

updating the sales-related database to store the received updated information.

19. (Currently Amended) A system for updating information relating to  
administration of sales agents, brokers, distributors or dealers connected with a sales-  
related database comprising:

- means for receiving login information from a client system;
- means for determining if the login information is valid;
- means for transmitting an administrative functions home page to the client  
system if the login information is valid;
- means for receiving a function link selection from the client system;
- means for determining which function link was selected;
- means for displaying an administrative function screen corresponding to the  
function link selected;
- means for receiving updated information in a predetermined format, the updated  
information comprising sales made by sales agents, brokers, distributors or dealers,  
commissions owed to sales agents, brokers, distributors or dealers, status of sales  
agents, brokers, distributors or dealers, and licensure status of sales agents, brokers,  
distributors or dealers, and being provided by a user interacting with an administrative  
tool having at least one broker operations module for updating broker information in the  
sales related database, a rate change module, and ~~at least one of a~~ login module, a  
report builder module, a database access module, and a file upload module; and
- means for updating the sales-related database to store the updated information.

20. (Currently Amended) A process for updating information relating to administration of sales agents, brokers, distributors or dealers in a sales-related database comprising the steps of:

- receiving an access request;
- determining if the access request is valid;
- receiving updated information if the access request is valid, the updated information comprising sales made by sales agents, brokers, distributors or dealers, commissions owed to sales agents, brokers, distributors or dealers, status of sales agents, brokers, distributors or dealers, and licensure status of sales agents, brokers, distributors or dealers, and being provided by a user interacting with an administrative tool having at least one broker operations module for updating broker information in the sales related database, a rate change module, and ~~at least one of a~~ login module, a report builder module, a database access module, and a file upload module;
- verifying the received updated information; and
- replicating the sales-related database by storing the updated information in at least one other sales-related database.

22. (Currently Amended) A computer readable medium for updating information relating to administration of sales agents, brokers, distributors or dealers in a sales-related database, the computer readable medium storing computer readable code executable to perform a process for integrating one or more updates relating to administration of sales agents, brokers, distributors or dealers into a sales-related database over a network, wherein the integrating process comprises the steps of:

receiving updated information for the sales-related database in a file configured in a predetermined format, the updated information comprising sales made by sales agents, brokers, distributors or dealers, commissions owed to sales agents, brokers, distributors or dealers, status of sales agents, brokers, distributors or dealers, and licensure status of sales agents, brokers, distributors or dealers, and being provided by a user interacting with an administrative tool having at least one broker operations module for updating broker information in the sales related database, a rate change module, and a login module, a report builder module, a database access module, and a file upload module;

saving the configured file containing the updated information to the sales-related database; and

uploading the sales-related database to a web-based database using an administrative tool.

23. (Currently Amended) A computer readable medium for updating information relating to administration of sales agents, brokers, distributors or dealers in a sales-related database, the computer readable medium storing computer readable code executable to perform a process for integrating one or more updates relating to administration of sales agents, brokers, distributors or dealers into a sales-related database over a network, wherein the integrating process comprises the steps of:

- receiving login information from a client system;
- determining if the login information is valid;
- transmitting an administrative functions home page to the client system if the login information is valid;



receiving a function link selection from the client system;

determining which function link was selected;

displaying an administrative function screen corresponding to the function link selected;

receiving updated information in a predetermined format, the updated information comprising sales made by sales agents, brokers, distributors or dealers, commissions owed to sales agents, brokers, distributors or dealers, status of sales agents, brokers, distributors or dealers, and licensure status of sales agents, brokers, distributors or dealers, and being provided by a user interacting with an administrative tool having at least one broker operations module for updating broker information in the sales related database, a rate change module, and ~~at least one of~~ a login module, a report builder module, a database access module, and a file upload module; and

updating the sales-related database to store the received updated information.

24. (Currently Amended) A computer readable medium for updating information relating to administration of sales agents, brokers, distributors or dealers in a sales-related database, the computer readable medium storing computer readable code executable to perform a process for integrating one or more updates relating to administration of sales agents, brokers, distributors or dealers into a sales-related database over a network, wherein the integrating process comprises the steps of:

receiving an access request;

determining if the access request is valid;

receiving updated information if the access request is valid, the updated information comprising sales made by sales agents, brokers, distributors or dealers,

commissions owed to sales agents, brokers, distributors or dealers, status of sales agents, brokers, distributors or dealers, and licensure status of sales agents, brokers, distributors or dealers, and being provided by a user interacting with an administrative tool having at least one broker operations module for updating broker information in the sales related database, a rate change module, and ~~at least one of~~ a login module, a report builder module, a database access module, and a file upload module;

verifying the received updated information; and

replicating the sales-related database by storing the updated information in at least one other sales-related database.